



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
JUL 1 8 2001
Technology Center 2100

In re application of: **Dutta et al.**

Serial No.: **09/833,340**

Filed: **April 12, 2001**

For: **Method and Apparatus for
Processing a Check within a Financial
System**

§
§
§
§
§
§
§

Group Art Unit: **2161**

Examiner: **Unknown**

Attorney Docket No.: **AUS920010213US1**

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 7/11/01



Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Duke W. Yee".


Duke W. Yee
Registration No. 34,285
CARSTENS, YEE & CAHOON, LLP
P.O. Box 802334
Dallas, Texas 75380
(972) 367-2001

RECEIVED
JUL 18 2001
Technology Center 2100

RECEIVED
JUL 18 2001
Technology Center 2100

U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

Page 1 of 1

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. AUS920010213US1		SERIAL NO. 09/833,340	
			APPLICANT Dutta et al.			
			FILING DATE April 12, 2001		GROUP ART UNIT 2161	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA 5,025,139	Jun. 18, 1991	Halliburton, Jr.	235/379	Dec. 8, 1987	
	AB 5,345,549	Sep. 6, 1994	Appel et al.	395/154	Oct. 30, 1992	
	AC 5,422,467	Jun. 6, 1995	Graef et al.	235/379	Jan. 15, 1993	
	AD 5,534,682	Jul. 9, 1996	Graef et al.	235/379	Mar. 21, 1995	
	AE 5,540,425	Jul. 30, 1996	Graef et al.	271/225	Apr. 3, 1995	
	AF 5,673,333	Sep. 30, 1997	Johnston	382/137	Sep. 23, 1994	
	AG 5,751,842	May 12, 1998	Riach et al.	382/137	Nov. 15, 1996	
	AH 5,752,079	May 12, 1998	Melen et al.	395/827	Sep. 8, 1995	
	AI 5,910,988	Jun. 8, 1999	Ballard	380/24	Aug. 27, 1997	
	AJ 5,918,748	Jul. 6, 1999	Clark et al.	209/534	Jul. 1, 1997	
	AK 6,032,137	Feb. 29, 2000	Ballard	705/75	May 19, 1998	
	AL 6,164,529	Dec. 26, 2000	Peters et al.	235/379	Jul. 16, 1997	
	AM 5,987,439	Nov. 16, 1999	Gustin et al.	705/43	May 30, 1997	
	AN 6,012,048	Jan. 4, 2000	Gustin et al.	705/39	May 30, 1997	
	AO 6,167,381	Dec. 26, 2000	Swaine et al.	705/17	Feb. 6, 1998	
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						